

01-24-01

A/REISSUE

01/23/01
 Jc821 U.S. PTO

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PTO/SB/50 (08-00)
 Approved for use through 12/30/2000. OMB 0651-0033
 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REISSUE PATENT APPLICATION TRANSMITTAL

Address to: Assistant Commissioner for Patents Box Reissue Washington, DC 20231	Attorney Docket No.	SONY-R7018
	First Named Inventor	KAZUNORI OZAWA
	Original Patent Number	5,870,710
	Original Patent Issue Date (Month/Day/Year)	02/09/1999
	Express Mail Label No.	EK916866565US

APPLICATION FOR REISSUE OF:
 (Check applicable box)



Utility Patent



Design Patent



Plant Patent

APPLICATION ELEMENTS (37 CFR 1.173)

- ☒ Fee Transmittal Form (PTO/SB/56)
(Submit an original, and a duplicate for fee processing)
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ Specification and Claims in double column copy of patent format (amended, if appropriate)
- ☒ Drawing(s) (proposed amendments, if appropriate)
- ☒ Reissue Oath/Declaration (original or copy)
(37 C.F.R. § 1.175) (PTO/SB/51 or 52)
- Original U.S. Patent currently assigned?
☒ Yes ☐ No
 (If Yes, check applicable box(es))
☒ Written Consent of all Assignees (PTO/SB/53)
☒ 37 C.F.R. § 3.73(b) Statement ☒ Power of Attorney (PTO/SB/96)

ACCOMPANYING APPLICATION PARTS

- ☒ Statement of status/support for all changes to the claims. See 37 CFR 1.173 (c).
- ☐ Original U.S. Patent for surrender
☐ Ribboned Original Patent Grant
☐ Statement of Loss (PTO/SB/55)
- ☒ Foreign Priority Claim (35 U.S.C. 119)
(if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
- ☐ English Translation of Reissue Oath/Declaration
(if applicable)
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- Other:

15. CORRESPONDENCE ADDRESS



Customer Number or Bar Code Label

or ☒ Correspondence address below


(Insert Customer No. or Attach bar code label here)

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NAME (Print/Type)	CHARLES P. SAMMUT	Registration No. (Attorney/Agent)	28,901
Signature	<i>Charles P. Sammut</i>	Date	January 23, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Reissue, Washington, DC 20231.

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REISSUE APPLICATION FEE TRANSMITTAL FORM						Docket Number (Optional) SONY-R7018		
Claims as Filed - Part 1								
Claims in Patent		Number Filed in Reissue Application	(3) Number Extra	Small Entity		Other than a Small Entity		
				Rate	Fee	Rate	Fee	
(A) 20	Total Claims (37 CFR 1.16(j))	(B) 75 55 =	x \$ _____ =		or	x \$ 18 = 990.00	
(C) 6	Independent claims (37 CFR 1.16(i))	(D) 19	. 13 =	x \$ _____ =			x \$ 80 = 1,040.00	
Basic Fee (37 CFR 1.16(h)) \$ _____							\$ 710.00	
Total Filing Fee \$ _____							OR \$ 2,740.00	
Claims as Amended - Part 2								
	(1) Claims Remaining After Amendment		(2) Highest Number Previously Paid For	(3) Extra Claims Present	Small Entity		Other than a Small Entity	
					Rate	Fee	Rate	Fee
Total Claims (37 CFR 1.16(j))	***	MINUS	**	=	x \$ _____ =		x \$ _____ =	
Independent Claims (37 CFR 1.16(i))	***	MINUS	*****	=	x \$ _____ =		x \$ _____ =	
Total Additional Fee \$ _____							OR \$ _____	
<p>* If the entry in (D) is less than the entry in (C), Write "0" in column 3.</p> <p>** If the "Highest Number of Total Claims Previously Paid For" is less than 20, Write "20" in this space.</p> <p>*** After any cancellation of claims.</p> <p>**** If "A" is greater than 20, use (B - A); if "A" is 20 or less, use (B - 20).</p> <p>***** "Highest Number of Independent Claims Previously Paid For" or Number of Independent Claims in Patent (C).</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____ A duplicate copy of this sheet is enclosed.</p> <p><input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees under 37 CFR 1.16 or 1.17 which may be required, or credit any overpayment to Deposit Account No. _____ A duplicate copy of this sheet is enclosed.</p> <p><input type="checkbox"/> A check in the amount of \$ _____ to cover the filing / additional fee is enclosed.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p>								
<p>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>								
<p>January 23, 2001 Date</p>				<p style="text-align: center;"> Signature of Applicant, Attorney or Agent of Record</p> <p style="text-align: center;">CHARLES P. SAMMUT, REG. NO. 28,901 Typed or printed name</p>				

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PATENT



-1-

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for Reissue of)	Applications Branch
)	
Patent No. 5,870,710)	
Issued: February 9, 1999)	
)	FOREIGN PRIORITY CLAIM
Inventors: Kazunori Ozawa et al.)	
)	350 Woodside Avenue
Application No. 08/785,958)	Mill Valley, CA 94941-3822
)	(415) 383-8341
Filed: January 22, 1997)	
)	Atty Docket: SONY-R7018
For: AUDIO TRANSMISSION,)	
RECORDING AND REPRODUCING)	
SYSTEM (AS AMENDED))	
_____)	

Box REISSUE
Commissioner for Patents
Washington, D.C. 20231

Sir:


In accordance with the provisions of MPEP §1417 and §201.14(b), applicants hereby claim the benefit of a foreign filing date under 35 U.S.C. §119 for Japanese Patent Application No. P08-030004 filed in Japan on January 24, 1996.

Such priority was claimed in the subject U.S. Patent No. 5,870,710 and a certified copy of the priority document has been filed therein. The subject patent was filed on January 22, 1997 as Application No. 08/785,958 by Kazunori Ozawa, Nobuhiro Tone and Masahiro Asai, for AUDIO TRANSMISSION, RECORDING AND REPRODUCING SYSTEM. Accordingly, under the provisions of

MPEP §201.14(B) it is not necessary to file an additional certified copy in the present reissue application.

Respectfully submitted,

Dated: January 23, 2001

By: 
Charles P. Sammut
Reg. No. 28,901

Attorney for Applicants

Attorney Docket No. SONY-R7018